

2011 SCIENTIST CATEGORY PROMOTION BENCHMARKS

PY 2011 Guidance Regarding Promotion Precepts and Benchmarks for Commissioned Corps Officers

Officers competing for promotion are rated on the five Promotion Precepts described in the electronic Commissioned Corps Issuance System (eCCIS) Instructions 331.01 (old CCPM 23.4.1) "Permanent Grade Promotions", and 332.01 (old CCPM 23.4.2) "Temporary Grade Promotions", and noted below. To assist officers in better understanding the Promotion Precepts, the Precepts are described in terms of Factors. Each Factor has a Benchmark, which is a level of achievement for the officer given the category and grade.

The purpose of this Guidance is to inform officers and promotion boards of the levels of achievement per Promotion Precept generally considered to describe the "best qualified" officer for a specific category at a specific grade. This document can also benefit the officer in setting some personal long term goals for his or her career advancement.

The Chief Professional Officers (CPO) and Professional Advisory Committee (PAC) Chairs, in consultation with their constituent category members, revise the Guidance annually to reflect the ever changing missions and policies of the Corps. All five Promotion Precepts are identical for all categories, as are the Benchmarks for Promotion Precepts 1, 4 and 5. The Benchmarks for Promotion Precepts 2 and 3 are category-specific.

The benchmarks for Precepts 1 – 5 are levels of achievement and/or standards of excellence that describe the "best-qualified" officer. They serve as a basis by which officers can be measured within each category. No Officer is expected to meet all the standards for Precepts 1 - 5. These Benchmarks are not to be considered a checklist of activities that must be completed in order to be promoted. Quality and impact of an officer's service is far more important than the quantity of activities in which they participate.

The individual factors within each Precept are not listed in priority order. The importance of each factor is left to the discretion of the Promotion Boards. The members of the Promotion Boards review the service records of each officer under consideration for promotion and each assigns a score for the specific Promotion Precept. Promotion Board members exercise their professional judgment and discretion in the review and rating of each record.

There is no time period that limits which of the officer's activities and accomplishments are eligible for consideration. However, activities and accomplishments subsequent to an officer's last promotion should receive priority consideration.

The Promotion Precepts are weighted as follows:

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| 1. Performance Rating and Reviewing Official's Statement (Performance) | 40% |
| 2. Education, training, and professional development | 15% |
| 3. Career progression and potential | 25% |
| 4. Professional contributions and services to the PHS Commissioned Corps (Officership) | 15% |
| 5. Basic Readiness | 5% |

Promotion Board members examine many documents in the officer's electronic Official Personnel Folder (eOPF) during the promotion review. Examples of these documents include, but are not limited to: Commissioned Officers' Effectiveness Report (COER); Promotion Information Report (PIR); curriculum vitae; the Officer's and Reviewing Official's Statements; award narratives; and letters of appreciation. The most recent COERs (e.g., the last 3-5 years) are generally given the most consideration by Promotion Board members, although earlier COERs may also be reviewed. Promotion Board members evaluate both the values of the COER and the accompanying narrative.

Career development resources (e.g., Curriculum Vitae (CV) reviews, mentoring, internet training tools, career development seminars, fellow officers) provided by the PACs, agency liaisons, Office of Commissioned Corps Operations (OCCO), and the CPOs should be explored and fully utilized by all officers.

The Benchmarks will change as the Commissioned Corps continues to evolve. Any comments or suggestions that you have on the Benchmarks may be submitted to your PAC Chair, and will be carefully considered for incorporation into the next annual revision.

PY 2011 FACTORS and BENCHMARKS FOR PROMOTION PRECEPTS

1. Performance Rating and Reviewing Official's Statement (Performance)			
Factor	Benchmarks T-O4/P-O3	Benchmarks T-O5/P-O4	Benchmarks T-O6/P-O5/P-O6
<p>• Commissioned Officers' Effectiveness Report (COER)</p> <p>Based on information contained in the Officer's Statement, separate from the Reviewing Official's Statement, the officer will be rated on promotion readiness as it relates to:</p> <ul style="list-style-type: none"> ○ Progression of responsibility ○ Achievement and contributions to the agency mission ○ Personal accountability for developing skills and leadership effectiveness 	<p>The primary focus in reviewing the COER should be on the accompanying narrative rather than on the indicated value.</p> <p>Secondary assessment will include a review of the COER score, in the context of the officer's performance trends.</p> <p>Guidance provided as needed/requested to complete assignments of moderate complexity and impact. Skill development reflects potential for leadership and willingness/ability to assume increasing levels of responsibility</p> <p>Completes assigned mandatory training and elective training to complement mandatory training.</p> <p>Supporting information that professional development contributes to the agency missions.</p> <p>The officer demonstrates they efficiently and effectively work at their current grade.</p>	<p>The primary focus in reviewing the COER should be on the accompanying narrative rather than on the indicated value.</p> <p>Secondary assessment will include a review of the COER score, in the context of the officer's performance trends.</p> <p>Evidence of independent performance of complex tasks requiring developed proficiency and higher responsibility with positive impact on the program. Demonstrated leadership of program teams or projects.</p> <p>Completes assigned mandatory training and elective training to complement mandatory training.</p> <p>Supporting information that professional development contributes to the agency missions.</p> <p>The officer demonstrates they efficiently and effectively work at their current grade.</p>	<p>The primary focus in reviewing the COER should be on the accompanying narrative rather than on the indicated value.</p> <p>Secondary assessment will include a review of the COER score, in the context of the officer's performance trends.</p> <p>Independent initiative, as evidenced through development, oversight, coordination and/or leadership of projects of exceptional difficulty with an expected level of expertise. Assumption of overall personal accountability for the involved program or project</p> <p>Completes assigned mandatory training and elective training to complement mandatory training.</p> <p>Supporting information that professional development contributes to the agency missions.</p> <p>The officer demonstrates they efficiently and effectively work at their current grade and should occupy an 0-6 billet</p>

1. Performance Rating and Reviewing Official's Statement (Performance)

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Factor	Benchmarks T-O4/P-O3	Benchmarks T-O5/P-O4	Benchmarks T-O6/P-O5/P-O6
<p>• Award History*</p> <p>Progression of awards, relevance to mission, quality, as well as quantity, across the career is assessed:</p> <ul style="list-style-type: none"> ○ PHS Individual and Unit Honor Awards (e.g., Achievement Medal, Outstanding Service Medal, Unit Commendation) ○ Other Awards & Recognition ○ PHS Service Awards (e.g., Isolated Hardship Service Award, Special Assignment Service Award) 	<p>There should be a record of awards across the career. Officers should strive for increasing impacts at the local level, including team or unit participation, which may result in individual or unit awards (e.g., an Achievement Medal or unit commendation).</p> <p>Division, Institute, and Agency (including non-DHHS agencies), and professional organization awards, and recognition such as letters of commendation.</p> <p>Service should clearly reflect the impact(s) that evolve from the responsibility and performance of the officer.</p>	<p>There should be a record of awards across the career. Officers should strive for increasing impacts at the regional level which may result in progressively higher individual awards or (e.g., a Commendation Medal).</p> <p>Sustained performance that leads to recognition at the individual or unit award level.</p> <p>Division, Institute, and Agency (including non-DHHS agencies), and professional organization awards, and recognition such as letters of commendation.</p> <p>Service should clearly reflect the impact(s) that evolve from the responsibility and performance of the officer.</p>	<p>There should be a record of awards across the career. Officers should strive for increasing impacts at the regional, national or international level which may result in progressively higher individual awards or unit recognition (e.g., an Outstanding Service Medal or outstanding unit citation).</p> <p>Sustained performance that leads to recognition at the individual or unit award level.</p> <p>Division, Institute, and Agency (including non-DHHS agencies), and professional organization awards, and recognition such as letters of commendation.</p> <p>Service should clearly reflect the impact(s) that evolve from the responsibility and performance of the officer.</p>

*Please refer to Commissioned Corps Instruction Inst 511.01 (old CC 27.1.1) Awards Program for a description of the Honor and Service Awards.

1. Performance Rating and Reviewing Official's Statement (Performance)

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Factor	Benchmarks T-O4/P-O3	Benchmarks T-O5/P-O4	Benchmarks T-O6/P-O5/P-O6
<p>• Reviewing Official's Assessment for Promotion Readiness</p> <p>Based on information contained in the Reviewing Official's Statement (separate from the Officer's Statement), the Officer will be rated on promotion readiness as it relates to:</p> <ul style="list-style-type: none"> ○ Current Leadership Role in Command/ Agency ○ Progression of Leadership Potential ○ Contribution to the Agency Missions 	<p>Exhibits Leadership Qualities</p> <p>Recognizing junior officers with the potential and inspiration to influence.</p> <p>For example: <i>As assessed in ROS, candidate excels:</i></p> <p>a) In attributes that serve the leadership in a group, team, committee, or branch work and with the potential for team leadership or management role.</p> <p align="center">and/or</p> <p>b) As a member of a task force or similar group at, or above, the local or regional Branch or Division level.</p> <p>Additional attributes include:</p> <p>Authorship of publications or other written communication or oral presentations that strive for increasing impact (e.g., at, or above, the local/ regional Branch, or Division level).</p>	<p>Demonstrates Leadership Skills</p> <p>Recognizing exceptional personal leadership skill and significant potential or competence as a leader or manager.</p> <p>For example: <i>As assessed in ROS, candidate excels:</i></p> <p>a) In the contributions to and support of a management, supervisory, technical or clinical expert and/or program leadership role.</p> <p align="center">and/or</p> <p>b) As a member or leader of a task force or similar group at, or above, the local or regional Agency level.</p> <p>Additional attributes include:</p> <p>Primary or secondary authorship of publications or other written communication or oral presentations that strive for increasing impact (e.g., at or above the local or regional Agency level).</p> <p>Engages in collateral activities that contribute to the Agency/PHS mission.</p>	<p>Accomplished Leadership Role</p> <p>Recognizing leaders who have moved into key leadership roles and have a proven record of influence and achievement (e.g., Subject Matter Expert, Program Chief/Director or equivalent).</p> <p>For example: <i>As assessed in ROS, candidate excels:</i></p> <p>a) In an executive, senior management, expert, and/or special advisory/consultant position.</p> <p align="center">and/or</p> <p>b) As a leader of a task force or a similar group at either the regional, national or international Agency level.</p> <p>Additional attributes include:</p> <p>Primary or secondary authorship of publications or other written communication or oral presentations that strive for increasing impact (e.g., at either the regional, national or international Agency level).</p> <p>Evidence that career duties and collateral activities contribute to visibility and impact of the PHS Commissioned Corps mission.</p>

2. Education, Training and Professional Development

Factor	Benchmarks T-04/P-03	Benchmarks T-05/P-04	Benchmarks T-06/P-05/P-06
• Degrees	No degree beyond qualifying degree See Attachment A	No degree beyond qualifying degree See Attachment A	No degree beyond qualifying degree See Attachment A
• Certification/ Credentialing	All certifications/credentials required by law for the officer's discipline/billet See Attachment B	All certifications/credentials required by law for the officer's discipline/billet See Attachment B	All certifications/credentials required by law for the officer's discipline/billet See Attachment B
• Licensure	All licenses required by law for their discipline/billet See Attachment B	All licenses required by law for their discipline/billet See Attachment B	All licenses required by law for their discipline/billet See Attachment B
• Continuing Education Dependent on career pathway of officer (clinical, technical scientific, or leadership/ management)	Minimum of 30 <u>contact hours/year</u> See Attachment C	Minimum of 35 <u>contact hours/year</u> See Attachment C	Minimum of 40 <u>contact hours/year</u> See Attachment C
• Public Health Training/Experience	- OBC/IOTC/ (with Training Ribbon); - Evidence of additional coursework in public health and emergency preparedness training;	- Completion of OFRD Basic Level plus 10 or more additional modules; - OBC or BOTC/IOTC (with Training Ribbon); - Additional course work or experience in public health, or related to job that could contribute to current or future PHS assignments (e.g., Epidemiologic Methods, Community Preparedness; Information Systems Technology, Bioterrorism)	- Completion of OFRD Basic Level plus 10 or more additional modules; - OBC or BOTC/IOTC (with Training Ribbon); AND - Leadership role and work experience in leading public health initiatives in current setting (facility, agency, local, state, or national,) OR Advanced course work, experience or expertise in public health discipline that contributes to current or future PHS assignments OR Leadership role in PHS or PHS agency that furthers the goals of PHS and DHHS

3. Career Progression and Potential

Factor	Benchmarks T-O4/P-O3	Benchmarks T-O5/P-O4	Benchmarks T-O6/P-O5/P-O6
• Billets	At least O-4	At least O-5	At least O-6
• Assignments, including collateral duties	Shows progression in responsibility, leadership and independence Independently conducts projects of moderate complexity with limited guidance		Expert in their specialty with responsibility for independently conducting projects of exceptional technical difficulty
• Mobility (Programmatic and/or Geographic) For Scientist officers, Programmatic mobility (including TDYs and Details) is considered more important than Geographic mobility	No minimum level [Combined programmatic and/or geographic moves]	1 or more during career [Combined programmatic and/or geographic moves]	3 or more during career [Combined programmatic and/or geographic moves] -May consider fewer moves for an expert or specialist as long as moves reflect increasing responsibility and leadership
• Assimilation	Applied, if eligible	Assimilation or awaiting Congressional confirmation	Regular Corps
• Award History: CC Honor Awards Non CC Award	Avg. 1 award for every 3 years of service Unit/individual/ agency/institution	Avg. 1 award for every 3 years of service Unit/individual/ agency/institution, at least 1 of which must be CC	Avg. 1 award for every 2 years of service Unit/individual/ agency/institution, at least 2 of which must be CC, with 1 being at the Commendation level

4. Professional contributions & services to the PHS Commissioned Corps (Officership)

Factor	Benchmarks T-O4/P-O3	Benchmarks T-O5/P-O4	Benchmarks T-O6/P-O5/P-O6
<p>• Honor/Integrity/Duty</p> <p>As a USPHS Officer -</p> <ul style="list-style-type: none"> ○ Honor and integrity are the consistent regard for the highest standards of behaviors and the refusal to violate one’s personal and professional codes. ○ Duty is the free acceptance of a commitment to service. 	<p>Displaying honor and integrity as an officer.</p> <p>Completes mandatory training assigned by the branch, division, agency or at the PHS level.</p> <p>Officer participates in personal and professional duties to meet obligations.</p> <p>No outstanding disciplinary or behavioral issues or adverse actions documented in eOPF.</p>	<p>Displaying honor and integrity as an officer.</p> <p>Completes mandatory training assigned by the branch, division, agency or at the PHS level.</p> <p>Officer participates in personal and professional duties to meet obligations.</p> <p>No outstanding disciplinary or behavioral issues or adverse actions documented in eOPF.</p> <p>Officer seen as a “role model” by peers</p>	<p>Displaying honor and integrity as an officer.</p> <p>Completes mandatory training assigned by the branch, division, agency or at the PHS level.</p> <p>Officer participates in personal and professional duties to meet obligations.</p> <p>No outstanding disciplinary or behavioral issues or adverse actions documented in eOPF.</p> <p>Officer seen as “role model” by agency leadership.</p>
<p>• Officer Contribution</p> <p>Signification of contribution is based on information contained in the Officer’s Statement, CV, or documented in letters of appreciation:</p> <ul style="list-style-type: none"> ○ Membership/ Leadership/ Involvement in PAC and Advisory Groups (e.g., Junior Officers Advisory Group, Minority Officers Liaison Council) 	<p>Appointed member or volunteer. Contribution should be documented in the CV and through letters of appreciation, awards, etc.</p> <p>Evidence that career duties and collateral activities impact and contribute to the PHS mission at the local level.</p>	<p>Appointed member or volunteer. Leads subcommittee or demonstrates substantive role. Contribution should be documented in the CV and through letters of appreciation, awards, etc.</p> <p>Evidence that career duties and collateral activities impact and contribute to the PHS mission at the regional level.</p>	<p>Appointed member or volunteer who serves as Chair or Vice-Chair, or leads subcommittees, or demonstrates substantive role. Contribution should be documented in the CV and through letters of appreciation, awards, etc.</p> <p>Evidence that career duties and collateral activities impact and contribute to the PHS mission at the regional, national or international level.</p>

4. Professional contributions & services to the PHS Commissioned Corps (Officership) -
continued -

Factor	Benchmarks T-O4/P-O3	Benchmarks T-O5/P-O4	Benchmarks T-O6/P-O5/P-O6
<ul style="list-style-type: none"> ○ Commitment to Visibility ○ Presentations and outreach acknowledge the Corps 	<p>Presentations and/or outreach include acknowledgement of the Commissioned Corps; uniform wear at local and regional meetings or activities of professional organizations resulting in positive impressions.</p>	<p>Presentations and/or outreach include acknowledgement of the Commissioned Corps; uniform wear at local, regional, and national meetings or activities of professional organizations resulting in positive impressions.</p> <p>Evidence of greater visibility in promoting the Corps to broader audiences.</p>	<p>Presentations and/or outreach include acknowledgement of the Commissioned Corps; uniform wear at local, regional, national and international meetings or activities of professional organizations resulting in positive impressions.</p> <p>Sought out by meeting planners for presentations with evidence of greater impact in support of Corps missions.</p>

5. Readiness		
Benchmarks T-O4/P-O3	Benchmarks T-O5/P-O4	Benchmarks T-O6/P-O5/P-O6
Officer meets and maintains OFRD Basic Readiness Standards.	Officer meets and maintains OFRD Basic Readiness Standards.	Officer meets and maintains OFRD Basic Readiness Standards.

Note: Officers may submit a request for a temporary medical waiver to the Medical Affairs Branch for medical issues that would prevent an Officer from achieving or maintaining readiness status